

SAP022

Enhancing Multi-Hazard Early Warning System to increase resilience of Uzbekistan communities to climate change induced hazards

Annual Performance Report CY2021

APR CY2021 Section 1: General Information - v4 2022-03-01 15:31 +09:00

[APR CY2021] Section 1: General Information

Please note that this is section 1 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

1.1 Please indicate if information provided in this APR is disclosable outside the Green Climate Fund. *

- ☒ Yes - The Accredited Entity agrees that the information reported is disclosable.
- ☐ No - The information reported is partly confidential. The disclosable version of the APR will be attached.

If you select the second option [No - The information reported is partly confidential. The disclosable version of the APR will be attached], please follow the below steps.

- Step 1: Fill in all the sections of the APR with disclosable information.
- Step 2: Save each section using the 'PDF' function provided in the top-right corner. (Do NOT submit an APR at this step).
- Step 3: Attach all of the disclosable sections to the attachment boxes below, which will be shown once you check the second option only.
- Step 4: Update all the sections of the APR with non-disclosable information.
- Step 5: Submit the non-disclosable APR which herein enclose the disclosable APR in PDF format.

1.2 Please indicate if this report has been shared with the relevant NDA(s) for this Funded Activity

Yes

Once the APR is created in the PPMS, please use the 'Open as PDF' function to download the report in PDF format and to share with relevant authorities (i.e. NDAs) before submission. Select 'Yes' only if shared to ALL the relevant NDA(s).

Please Indicate the date of submission to NDA(s)

2022-03-01

If the APR is submitted to multiple NDAs, please indicate the latest date of submission to NDA, and provide the other dates per NDA in the further explanation box below.

Please provide further explanation, if any:

1.3 Funded Activity Title (Project/Programme Title)

Enhancing Multi-Hazard Early Warning System to increase resilience of Uzbekistan communities to climate change-induced hazards

1.4 Funding Proposal Reference Number

(FP#SAP022)

1.5 Board Meeting Number

28

1.6 Accredited Entity contacts for this APR

Full Name	
Jihyea Kim	
E-mail Address	Phone Number
jihyea.kim@undp.org	

1.7 Executing Entity(ies)**Full Name of Executing Entity**

Ministry of Emergency Situations of the Republic of Uzbekistan

This value will be autopopulated from APR 2020.

Please write the name of the country where the Executing Entity is headquartered.

Uzbekistan

1.8 Project Duration**From**

2021-07-19

To

2027-07-19

Check if the extension request for the project duration was approved by the Secretariat during the reporting period.

Please reach out to the GCF portfolio managers if you need an extension

☐ Yes (it was extended)

☒ No

1.9 Current Year of Implementation

1

Indicate the year number, e.g., '2'

1.10 Annual reporting period covered in this report**From**

2021-07-19

To

2021-12-31

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 1 of the Annual Performance Report (APR).

☒ The accredited entity hereby confirms that the information provided in section 1 is complete and ready for submission.

APR CY2021 Section 2: Implementation Progress - v4 2022-03-01 15:31 +09:00

[APR CY2021] Section 2: Implementation Progress

Please note that this is section 2 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

2.1 Overall (summary) project progress

The project achieved FAA effectiveness on July 19, 2021, upon which the project officially started implementation. With the official start of the project, UNDP country office initiated the preparatory work to launch the project including: 1) finalizing the project document 2) working closely with the Executing Entity and other government partners on the work plan and procurement plan 3) discussing and agreeing on the plans for the PMU office (which will be located within the Uzhydromet premises) and 4) alignment of the HR related documents in line with new UNDP GSSU procedures and templates (TORs, Recruitment Strategies, proforma costs recalculations, etc.).

The project document (ProDoc) between UNDP and national partners, including the Ministry of Emergency Services of Uzbekistan (MES) and Center of Hydrometeorological Service of the Republic of Uzbekistan (Uzhydromet), was signed on 12th October 2021, along with a Letter of Agreement. The process of launching the project took longer than expected, due to delays in the clearing the ProDoc by the relevant government parties, including the Presidential Administration as the Minister of MES also holds the function of the Advisor to the President.

Upon ProDoc signature, UNDP, together with the MES and Uzhydromet, finalized the work plan that detailed the actions required to launch the project and discussed detailed procurement planning, including identification of the relevant technical specifications for procurement of equipment as indicated in the approved Procurement Plan.

A National Project Coordinator was appointed from the MES. The first instalment of funds in the amount of USD 1,075,950 was received by UNDP on 26 October 2021. In November 2021, the recruitment process for the project team was initiated, but due to the introduction of new system for recruitment within UNDP, there were some delays with the recruitment process.

Given the various delays experienced during project-start up, it is likely that additional months may be required to achieve the 70% delivery of the 1st tranche (indicative disbursement schedule for the 2nd tranche is currently June 2022). Therefore, as consulted with MES and Uzhydromet during the inception workshop it was proposed to push back the date of the 2nd disbursement request submission to December 2022. Nonetheless, the government partners indicated their strong commitment to ensure that all efforts are made to achieve 70% delivery of the 1st tranche in advance of December 2022.

As a part of Uzhydromet's co-financing commitment to provide office premises for the project team, UNDP CO programme staff has reviewed the premises and identified the list of office equipment to be procured for use by the project team. The items will be procured and set up in the first half of 2022. To ensure that all national and international experts are engaged as planned in the project proposal and work plan, the project is working towards finalizing the Terms of References jointly with national partners and the recruitment process is expected to start from March 2022. The overall recruitment process and timeline is on track.

During the consultations with partners at the Inception Workshop, a minor change was proposed to the baseline for Indicator 1.1 relating to the automation meteorological stations (AMS) and the number of new hydro-meteorological monitoring equipment purchased, installed and operational, in light of the results of the World Bank project. These changes were also reported in the Inception Report which was reviewed by the GCF.

2.1.1 Overall Progress Achieved Since Project Start

Since FAA effectiveness, most of the activities so far are preparatory in nature, including conducting the inception workshop on 11 January 2022, consulting with partners on the work plan and discussing responsibilities as per the implementation arrangements. This work also included the preparation of the Inception Report and baseline assessment which was done in consultation with the implementing partner (Executing Entity) and the responsibly party. The office premises was also identified and agreed with Uzhydromet and the office equipment will soon be procured with the support of a procurement expert. The recruitment process for the project team is ongoing as planned and expected to be completed for key positions (Project Manager, AFA, Procurement Assistant and PR Specialist, Team Leader(s)) by April 2022.

2.1.2 Update on Project Risks

There are no changes on project risks or mitigation measures as approved in the Funding Proposal. Project risks will be monitored, and updates will be provided in subsequent APRs.

Provide a narrative report describing the overall progress on the implementation of the funded activity, focusing on implementation achievements, delays, and challenges according to the planned activities. As relevant, include references to other sections of this report (including Annexes or Attachments). Include a description of key milestones of the funded activity achieved during this reporting period including any deviations from original expectations. Also, describe challenges encountered and actions undertaken to resolve these challenges, and lessons learned during the implementation, including issues related to non-compliance with GCF standards or conditions, if any. In parallel, include positive achievements and better-than-expected results. If any issues have arisen in the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project, please provide a description of those items and how they have impacted the implementation period and final targets. Kindly make sure that this section just gives an overall summary and doesn't have overlap with other sections.

2.2 Performance against the GCF investment criteria (summary)

The project is in the start-up phase and therefore, other than the preparatory work explained in Section 2.1, there is not any significant progress to be reported at this stage. Further updates on progress will be provided in the next APR.

Provide a narrative report describing the progress on the funded activity's performance against the GCF investment criteria framework. The performance should be compared against the initial assessment provided in the Board-approved Funding Proposal (section E). The list of the investment criteria as per the current framework is provided below. For each investment criteria outlined below, please include an assessment of current status, changes, progress and impact of the project as well as any impact of project context on the project during this reporting period against the initial baseline scenario and planned activities as per the assessment presented in the approved Funding Proposal. This sub-section 2.2 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

Relevant Links

[The GCF investment criteria framework](#)

2.2.1 Impact Potential ?

The project is in the start-up phase and therefore, other than the preparatory work explained in Section 2.1, there is not any significant progress to be reported at this stage. Further updates on progress will be provided in the next APR.

2.2.2 Paradigm shift potential ?

The project is in the start-up phase and therefore, other than the preparatory work explained in Section 2.1, there is not any significant progress to be reported at this stage. Further updates on progress will be provided in the next APR.

2.2.3 Sustainable development potential ?

The project is in the start-up phase and therefore, other than the preparatory work explained in Section 2.1, there is not any significant progress to be reported at this stage. Further updates on progress will be provided in the next APR.

2.2.4 Needs of the recipient ?

The project is in the start-up phase and therefore, other than the preparatory work explained in Section 2.1, there is not any significant progress to be reported at this stage. Further updates on progress will be provided in the next APR.

2.2.5 Country Ownership ?

The project is in the start-up phase and therefore, other than the preparatory work explained in Section 2.1, there is not any significant progress to be reported at this stage. Further updates on progress will be provided in the next APR.

2.2.6 Efficiency and Effectiveness ?

The project is in the start-up phase and therefore, other than the preparatory work explained in Section 2.1, there is not any significant progress to be reported at this stage. Further updates on progress will be provided in the next APR.

2.3 Project Outputs Implementation Status

Please note the below fields are mandatory but only a one-time activity. Please fill out the project output details regardless of any progress made so far, which will be auto-populated in the next APR and on wards.

Use 'Add Row' button to add multiple outputs and/or activities reported against one output

Project Output Name *

Output 1: Upgraded hydro-meteorological observation network, modelling and forecasting capacities

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 1.1 Upgrading and modernization of the meteorological and hydrological Observation System

Status *

Activity not yet due

Implementation Progress *

0

%

Original timeline planned for this activity *

Q1 2022 to Q1 2025

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

MES and Uzhydromet have commenced the development of technical specifications for the equipment.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

The technical specifications will be finalized, and the tender process will be launched as per the approved FP procurement plan. Once completed, the new monitoring equipment (AWS, automatic hydrological stations, upper air sounding stations) will also be installed.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 1: Upgraded hydro-meteorological observation network, modelling and forecasting capacities

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 1.2 Upgrading Uzhydromet capacity to store, process and develop hazard products, as well as to communicate hydrometeorological data to regional divisions.

Status *

Activity not yet due

Implementation Progress *

0

%

Original timeline planned for this activity *

Q1 2022 to Q2 2025

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

This activity has not yet started in the reported period and will be initiated in 2022.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Work related to the establishment of the operations centre, ICT servers and networking equipment to integrate data streams (hydrometeorological and satellite-based observations) and automate processes and analyses (including hazard forecasts) will commence. A local cloud-based solution will be implemented to store and manage data that will benefit from offsite backups and easier access for the MES risk management system.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 1: Upgraded hydro-meteorological observation network, modelling and forecasting capacities

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 1.3 Re-training and advanced training of Uzhydromet staff on monitoring and forecasting technologies and procedures (training of MES staff is covered in Output 2 below).

Status *

Activity not yet due

Implementation Progress *

0

%

Original timeline planned for this activity *

Q1 2022 to Q1 2027

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

This activity has not yet started in the reported period and will be implemented in 2022.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

International experts will be contracted, and they will conduct training for weather forecasters to work with the new products of the KOSMO model (with a resolution of 13 km and 2 km). Refresher courses and advanced training will be provided for the new software and equipment, including the introduction of new methods for the analysis and prediction of hydro meteorologically important variables and climate hazards. The project will facilitate the organization of on-the-job training, engagement with universities, courses and seminars with the involvement of foreign specialists.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 2: A functional impact-based Multi-Hazard Early Warning System is established based on innovative impact modelling, risk analyses, effective regional communication and community awareness

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 2.1 Developing and installing a modernised and efficient system for assessing climate risks based on dynamic information on both hazards and vulnerabilities, including socio-economic risk models for decision making and prioritization of resilience-building long-term/future investments.

Status *

Activity not yet due

Implementation Progress *

0

%

Original timeline planned for this activity *

Q3 2022 to Q1 2027

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

This activity has not yet started in 2021 and will start implementation in 2022.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

The establishment of the impact-based MHEWS will be started, where hazard forecasting will be linked to the risk and exposure information (socio-economic risk model). This involves installing hardware and software to enable the building of an advanced, impact-based information management system, which will combine data on current vulnerabilities (e.g. indicators of poverty, education, health, housing, gender, etc.), public and private assets (including infrastructure, roads, railways, housing, mines, airports, hospitals, schools etc.), the environment (crops, lakes, rivers, tourism areas etc.) and hazard impacts (input from Output 1) to operationally assess the risks associated with each hazard forecast.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 2: A functional impact-based Multi-Hazard Early Warning System is established based on innovative impact modelling, risk analyses, effective regional communication and community awareness

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 2.2 Developing and introducing technical guidance, institutional and coordination frameworks

Status *

Activity not yet due

Implementation Progress *

0

%

Original timeline planned for this activity *

Q3 2022 to Q3 2025

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

This activity has not yet started in 2021 and will start implementation in 2022.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

The activities will be focused on increase the efficiency of i) data collection and archiving (activities 1.1 and 1.2); ii) hazard mapping and modelling (Activity 1.2); iii) risk assessment (Activity 2.1); iv) impact-based warning and forecast-based actions (Activity 3.2); and v) dissemination of information to RCMCs (Activity 2.3).

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 2: A functional impact-based Multi-Hazard Early Warning System is established based on innovative impact modelling, risk analyses, effective regional communication and community awareness

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 2.3 Designing and implementing a system for information dissemination to RCMCs and area-specific mobile alerts including an information visualization system for RCMCs with software.

Status *

Activity started - progress on track

Implementation Progress *

0

%

Original timeline planned for this activity *

Q1 2022 to Q1 2027

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

Consultation work has started with MES in preparing RCMS in 7 target regions. This work will continue in 2022.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Activities will be conducted to set up information visualisation and analysis systems (video walls, telecommunication systems, servers and ICT storage) at 7 RCMS, to enable them to visualise the maps and impact forecast information provided through the risk analysis and warning system (Activity 2.1) and combine it with local (regionally available) information on current vulnerabilities and field-based information.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 3: Strengthened climate services and disaster communication to end-users

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 3.1 Establishing National Framework for Climate Services for Uzbekistan.

Status *

Activity not yet due

Implementation Progress *

0

%

Original timeline planned for this activity *

Q1 2022 to Q4 2025

Please refer to the Implementation Timetable in the log-frame

Progress for the relevant reporting period

This activity has not yet started in 2021, and will start implementation in 2022.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

This activity entails establishment of the NFCS would typically involve: i) an assessment of gaps, needs and user perspectives (i.e., through interviews) concerning the current and desirable climate services; ii) based on this assessment, the drafting of NFCS Uzbekistan concept and action plan; iii) extensive consultations regarding the concept with the various sectors, users and co-producers of climate services; and iv) reaching a broad agreement and Governmental endorsement for NFCS implementation.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name *

Output 3: Strengthened climate services and disaster communication to end-users

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name *

Activity 3.2 Designing a sustainable business model for disaster-related information and services.

Status * <input style="width: 95%;" type="text" value="Activity not yet due"/>	Implementation Progress * <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; text-align: center;">0</td> <td style="width: 20%; text-align: center;">%</td> </tr> </table>	0	%
0	%		
Original timeline planned for this activity * <input style="width: 95%;" type="text" value="Q3 2022 to Q4 2024"/>			
<small>Please refer to the Implementation Timetable in the log-frame</small>			
Progress for the relevant reporting period <input style="width: 95%;" type="text" value="This work has not started in 2021, and planned to be implemented in 2022."/>			
<small>Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</small>			
Key milestones and deliverables for the next reporting period <input style="width: 95%;" type="text" value="Linked to the NFCS process above, the project will conduct a comprehensive analysis and discussion of long-term sustainable financing options for disaster-related services in Uzbekistan beyond the current state-funding model, in particular drawing on blended finance through dedicated national funds and public-private partnership opportunities."/>			
<small>Please include a list of key milestones and deliverables expected to be executed in the next reporting period.</small>			

Project Output Name * <input style="width: 95%;" type="text" value="Output 3: Strengthened climate services and disaster communication to end-users"/>			
<small>The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.</small>			
Project Activity Name * <input style="width: 95%;" type="text" value="Activity 3.3 Strengthening disaster warning dissemination and communication with end-users."/>			
Status * <input style="width: 95%;" type="text" value="Activity not yet due"/>	Implementation Progress * <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; text-align: center;">0</td> <td style="width: 20%; text-align: center;">%</td> </tr> </table>	0	%
0	%		
Original timeline planned for this activity * <input style="width: 95%;" type="text" value="Q3 2022 to Q1 2027"/>			
<small>Please refer to the Implementation Timetable in the log-frame</small>			
Progress for the relevant reporting period <input style="width: 95%;" type="text" value="This work has not started in 2021, and planned to be implemented in 2022."/>			
<small>Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.</small>			
Key milestones and deliverables for the next reporting period <input style="width: 95%;" type="text" value="The project will significantly strengthen interaction with the end-users (with consideration of gender, age and vulnerability factors) to communicate and facilitate proactive responses to disaster information and warnings in Uzbekistan. Within the 15 RCMCs, outdoor communication boards will be set up in identified communities at the highest risk to alert and inform the population in real-time about threats or emergencies, following which, through cooperation between MES RCMCs and the Red Crescent Society, communities will be trained to interpret and use the information on climate hazards and early warnings."/>			
<small>Please include a list of key milestones and deliverables expected to be executed in the next reporting period.</small>			

2.4 Progress Update on the Logic Framework Indicators

Values of Baseline, mid-term target and final targets should be the same from the approved funding proposals unless calculation methodologies were revised in agreements with the GCF. Please attach a supporting document(s) describing the calculation methodology of the current value of all the indicators in Section 5; the indicators cover core, impact, outcome, and output levels. If there is a change in the methodology, you need to include clear justifications for the change and changed values as compared to the previous year.

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

2.4.1 Core Indicators

Select applicable core indicators

- ☐ Mitigation Core Indicator 1 - Tonnes of carbon dioxide equivalent (tCO₂eq) reduced as a result of GCF funded project/programme
- ☐ Mitigation Core Indicator 2 - Cost per tCO₂eq decreased for GCF funded project/programme
- ☐ Mitigation Core Indicator 3 - Volume of finance leveraged by GCF funding (Disaggregated by public/private source)
- ☒ Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme
- ☒ Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme
- ☒ Adaptation Core Indicator 3 - Number of total beneficiaries relative to total population

Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme (Units: number of individuals and percentage %)

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

Baseline	Baseline (% of female)
0	0 %
Current Value	Current Value (% of female)
0	0 %
Mid-term Target	Mid-term Target (% of female)
2259200	50.1 %
Final Target	Final Target (% of female)
11296000	50.1 %
Remarks (including changes, if any)	

Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme (Units: number of individuals and percentage %)

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

Baseline	Baseline (% of female)
0	0 %
Current Value	Current Value (% of female)
0	0 %
Mid-term Target	Mid-term Target (% of female)
1619500	30 %
Final Target	Final Target (% of female)
32390000	49.83 %
Remarks (including changes, if any)	

Adaptation Core Indicator 3 - Number of total beneficiaries relative to total population (Units: percentage %)

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

Share of direct beneficiaries relative to total population

Baseline	Current Value	Mid-term Target	Final Target
<input type="text"/> %	<input type="text"/> %	<input type="text"/> %	<input type="text"/> %

Share of female direct beneficiaries relative to total population

Baseline (female)	Current Value (female)	Mid-term Target (female)	Final Target (female)
<input type="text"/> %	<input type="text"/> %	<input type="text"/> %	<input type="text"/> %

Share of indirect beneficiaries relative to total population

Baseline	Current Value	Mid-term Target	Final Target
0 <input type="text"/> %	0 <input type="text"/> %	50 <input type="text"/> %	100 <input type="text"/> %

Share of female indirect beneficiaries relative to total population

Baseline (female)	Current Value (female)	Mid-term Target (female)	Final Target (female)
<input type="text"/> %	<input type="text"/> %	<input type="text"/> %	<input type="text"/> %

Remarks (including changes, if any)

2.4.2 Impact Indicators

Select applicable impact indicators

- ☐ M1.1 Tonnes of carbon dioxide equivalent (tCO₂eq) reduced or avoided as a result of increased low-emission energy access and power generation
- ☐ M2.1 Tonnes of carbon dioxide equivalent (tCO₂eq) reduced or avoided as a result of increased access to low-emission transport
- ☐ M3.1 Tonnes of carbon dioxide equivalent (tCO₂eq) reduced or avoided as a result of buildings, cities, industries and appliances
- ☐ M4.1 Tonnes of carbon dioxide equivalent (tCO₂eq) reduced or avoided as a result of sustainable management of forests and conservation and enhancement of forest carbon stocks
- ☐ A1.1 Change in expected losses of lives and economic assets due to the impact of extreme climate-related disasters in the geographic area of the GCF intervention
- ☐ A1.2 Number of males and females benefiting from the adoption of diversified, climate resilient livelihood options (including fisheries, agriculture, tourism, etc.)
- ☐ A1.3 Number of Fund funded projects/programmes that supports effective adaptation to fish stock migration and depletion due to climate change
- ☐ A2.1 Number of males and females benefiting from introduced health measures to respond to climate-sensitive diseases
- ☐ A2.2 Number of food secure households (in areas/periods at risk of climate change impacts)
- ☐ A2.3 Number of males and females with year round access to reliable and safe water supply despite climate shocks and stresses
- ☐ A3.1 Number and value of physical assets made more resilient to climate variability and change, considering human benefits (reported where applicable)
- ☐ A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change
- ☐ A4.2 Value of ecosystem services generated or protected in response to climate change

2.4.3 Project/Programme-level Outcome & Output Indicators

Please provide ex-post 'Current Value' on a cumulative basis. If you have multiple outputs to be reported against one outcome, you need to write down the same outcome name for every output. Likewise, if you have multiple indicators to be reported against one output, you need to write down the same output name and corresponding outcome name for every indicator.

Use 'Add row' button to add multiple outcomes, outputs and/or indicators.

Results Area Type	Outcome Name		
Adaptation	A1.0 Increased resilience and enhanced livelihoods of the most vulnerable people, communities and regions		
Output Name (under the afore-mentioned outcome)			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
Indicator Name			
Unit			
%			
Baseline	Current Value	Mid-term Target	Final Target
0	0	50	100
Remarks (including changes, if any)			
<p>Loss of life: Average of 8 lives lost annually (1996-2016) for the entire country</p> <p>Economic losses for the entire country: US\$ 312.3 million average annual loss due to various hazards (floods, droughts and mudslides)^[1].</p> <p>^[1] FS section 5.2 provides the national estimate of direct economic cost of disasters that is used to calculate baseline: annual economic impact is estimated to be US\$ 236 million for floods, US\$ 67.2 million for droughts, US\$ 9.1 million for mudslides (including the valuation of loss of life: 8 people with a VSL of US\$ 871,798).</p>			

Results Area Type	Outcome Name		
Adaptation	Indicator 1		
Output Name (under the afore-mentioned outcome)			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
Indicator Name			
Number of technologies and innovative solutions transferred or licensed to promote climate resilience as a result of Fund support			
Unit			
#			
Baseline	Current Value	Mid-term Target	Final Target
0	0	4	5
Remarks (including changes, if any)			

Results Area Type	Outcome Name		
Adaptation			
Output Name (under the afore-mentioned outcome)			
Indicator 1.1			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
Indicator Name			
Number of new hydro-meteorological monitoring equipment purchased, installed and operational			
Unit			
#			

Baseline	Current Value	Mid-term Target	Final Target
0	0	13	25

Remarks (including changes, if any)

Change is proposed for baseline as 50 AMS have been installed in the Hydromet system with support of the WB project (this was also presented in the inception report)

Results Area Type	Outcome Name
Adaptation	

Output Name (under the afore-mentioned outcome)

Indicator 1.2

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

Number of districts for which hazard and risk maps (covering landslides, mudflows, avalanches and hydrological droughts) are available

Unit

#

Baseline	Current Value	Mid-term Target	Final Target
0	0	2	7

Remarks (including changes, if any)

Results Area Type	Outcome Name
Adaptation	

Output Name (under the afore-mentioned outcome)

Indicator 1.3

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

Level of institutional capacity and knowledge of Uzhydromet staff on monitoring and forecasting technologies and procedures

Unit

%

Baseline	Current Value	Mid-term Target	Final Target
0	0	50	100

Remarks (including changes, if any)

Results Area Type	Outcome Name
Adaptation	

Output Name (under the afore-mentioned outcome)

Indicator 2.1

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

Improvement in the timeliness of warnings received by end-users as a result of the impact-based integrated MHEWS

Unit

#

Baseline	Current Value	Mid-term Target	Final Target
0	0	4	6

Remarks (including changes, if any)

Results Area Type	Outcome Name
Adaptation	

Output Name (under the afore-mentioned outcome)

Indicator 2.2

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

2.2 Level of institutional coordination among Uzhydromet, MES and RCMCs on multi-hazard early warnings responses and dissemination

Unit

Level

Baseline	Current Value	Mid-term Target	Final Target
2	0	3	4

Remarks (including changes, if any)

Results Area Type	Outcome Name
Adaptation	

Output Name (under the afore-mentioned outcome)

Indicator 2.3

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

Number of functional regional crisis management centres with access to area-specific early warnings, mobile alerts and risk mapping technologies

Unit

#

Baseline	Current Value	Mid-term Target	Final Target
0	0	2	7

Remarks (including changes, if any)

Results Area Type	Outcome Name
Adaptation	

Output Name (under the afore-mentioned outcome)

Indicator 3.1

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

Level of user interaction in the co-design and co-production of disaster-related information, as a result of the establishment of a National Framework for Climate Services (NFCS) for Uzbekistan

Unit			
Level			
Baseline	Current Value	Mid-term Target	Final Target
1	0	2	2
Remarks (including changes, if any)			

Results Area Type	Outcome Name		
Adaptation			
Output Name (under the afore-mentioned outcome)			
Indicator 3.2			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
Indicator Name			
Number of revenue generation options based on delivery of disaster risk information products/services included in the business model and endorsed by institutional and sectoral users			
Unit			
#			
Baseline	Current Value	Mid-term Target	Final Target
0	0	0	3
Remarks (including changes, if any)			

Results Area Type	Outcome Name		
Adaptation			
Output Name (under the afore-mentioned outcome)			
Indicators 3.3			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
Indicator Name			
Number of communities in targeted areas with improved access to early warning alerts through information board, mahalla training and info-products/meetings			
Unit			
#			
Baseline	Current Value	Mid-term Target	Final Target
0	0	12	20
Remarks (including changes, if any)			

If applicable, please submit a supporting document describing the calculation methodology for the current values provided.

2.5 Report on changes during implementation (include actual and expected changes)

Aside from the update made to the baseline value for Indicator 1.1 that were communicated to the GCF through the Inception Report, there are no significant changes made to the project to date.

Describe changes to the project during the reporting period. In particular, the report should cover elements such as change of beneficial ownership structure, management changes of the Accredited Entity, policies and other elements relevant for the project, and any other material change that could influence the overall outcome of the project.

2.6 Implementation challenges and lessons learned

Challenge encountered

Changes in the management of Uzhydromet establishing new divisions and assigning new responsible experts for the project

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type

Operational

Impact on the project implementation

Minor/Solved

Measures adopted

AE has briefed the Uzhydromet partners on project strategies, GCF requirements and final targets to be achieved.

Please check if the above-mentioned challenge(s) has been resolved during the reporting period

☒ The challenge(s) has been resolved during the reporting period.

Lesson learned and other remarks

Systematic communication, joint work planning, regular meetings would be essential.

Please check if this challenge is caused by COVID-19 pandemic.

☐ Yes

☒ No

Challenge encountered

Poor capacity within MES and low levels of understanding of GCF requirements and expectations

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type

Operational

Impact on the project implementation

Minor/Solved

Measures adopted

AE held number of meetings and briefings on articles of FAA, GCF rules and procedures, reporting requirement and dead-lines.

Please check if the above-mentioned challenge(s) has been resolved during the reporting period

☒ The challenge(s) has been resolved during the reporting period.

Lesson learned and other remarks

More consultations, trainings and seminars on GCF requirements would be essential for capacity building.

Please check if this challenge is caused by COVID-19 pandemic.

☐ Yes

☒ No

Challenge encountered

Delay in the process of project launch

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type

Operational

Impact on the project implementation

Minor/Solved

Measures adopted

Closed and regular interaction with MoE and other national partners including MFA on the timelines of the project, providing necessary background information about the project.

Please check if the above-mentioned challenge(s) has been resolved during the reporting period

☒ The challenge(s) has been resolved during the reporting period.

Lesson learned and other remarks

Advance planning in communication with the national partners on the timelines of the project launch, including the early launch of the project staff recruitment process,

Please check if this challenge is caused by COVID-19 pandemic.

☐ Yes

☒ No

Challenge encountered

COVID-19 Impact

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type

Operational

Impact on the project implementation

Moderate

Measures adopted

There is possibility of disruptions along the supply chain that are likely to be resulted from COVID-19 containment measures including lockdowns and travel restrictions resulting in delays in receiving inputs or equipment e.g., for agricultural activities, construction, etc., in addition to logistical disruptions from accessing markets.

Please check if the above-mentioned challenge(s) has been resolved during the reporting period

☐ The challenge(s) has been resolved during the reporting period.

Lesson learned and other remarks

Please check if this challenge is caused by COVID-19 pandemic.

☒ Yes

☐ No

Please choose the severity of overall impact.

Description of levels of severity:

1. On-track with no or minor impact: No or minor impact on project implementation and corresponding annual activities.
2. Facing delays: Implementation progress faced delays in the timeline but did not require any substantial changes in the implementation plan.
3. A minor change(s) required: Changes that are not classified as Major changes but requires intervention from GCF.
4. A major change(s) required: As per paragraph 16 of the Policy on Restructuring and Cancellation - Board Decision B.22/14 paragraph (a). Please find the link to the policy document below.

[GCF Policy on Restructuring and Cancellation](#)
Select a type of the COVID-19 challenges encountered.

Sample challenges for Supply Chain:

- Delays in procurement and importation of materials, and equipment due to halt in production or lack of raw material and supplies
- Logistic challenges leading to loss of business opportunities
- Need for extensions of tender submission dates

Please describe if any support is required from the GCF to address the COVID-19 impact on your project/programme.

Challenge encountered

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type

Impact on the project implementation

Measures adopted

If the restriction in the country will be strengthened there is a risk and impact on revenue of user-payment (fee-for-service) projects, due to reduced demand; payments of penalties for non-adherence to timelines and compensation for higher costs and losses on contractual obligations, e.g. construction projects with specific timelines and deliverables; financial distress resulting from reduced income vis-à-vis running costs impacting companies' ability to meet financial obligations and the tightening of post-crisis fiscal space and its potential impact on private sector risk-appetite and market liquidity, etc.

Please check if the above-mentioned challenge(s) has been resolved during the reporting period
☐ The challenge(s) has been resolved during the reporting period.
Lesson learned and other remarks

Please check if this challenge is caused by COVID-19 pandemic.
☒ Yes

☐ No

Please choose the severity of overall impact.

Description of levels of severity:

1. On-track with no or minor impact: No or minor impact on project implementation and corresponding annual activities.
2. Facing delays: Implementation progress faced delays in the timeline but did not require any substantial changes in the implementation plan.
3. A minor change(s) required: Changes that are not classified as Major changes but requires intervention from GCF.
4. A major change(s) required: As per paragraph 16 of the Policy on Restructuring and Cancellation - Board Decision B.22/14 paragraph (a). Please find the link to the policy document below.

[GCF Policy on Restructuring and Cancellation](#)
Select a type of the COVID-19 challenges encountered.

Sample challenges for Liquidity and Solvency Risks:

- Liquidity, market, and credit risk of sub-projects
- Limitations on marketing process and income difficulties
- Inflation expected due to unstable markets
- Limited ability to deploy longer-term debt for capital expenditure investments
- Lower disbursements from risk mitigation measures and decreased loan demand

Please describe if any support is required from the GCF to address the COVID-19 impact on your project/programme.

Challenge encountered

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type

Impact on the project implementation

Measures adopted

There is a risk of additional costs related to security, safety and office rentals going up as institutions put in place measures to protect their staff; retain offices and staff for longer than anticipated or set up remote working arrangements; increases in costs of materials due to limited supply vis-à-vis demand due to pandemic.

Please check if the above-mentioned challenge(s) has been resolved during the reporting period
☐ The challenge(s) has been resolved during the reporting period.
Lesson learned and other remarks

Please check if this challenge is caused by COVID-19 pandemic.
☒ Yes

☐ No

Please choose the severity of overall impact.

Description of levels of severity:

1. On-track with no or minor impact: No or minor impact on project implementation and corresponding annual activities.
2. Facing delays: Implementation progress faced delays in the timeline but did not require any substantial changes in the implementation plan.
3. A minor change(s) required: Changes that are not classified as Major changes but requires intervention from GCF.
4. A major change(s) required: As per paragraph 16 of the Policy on Restructuring and Cancellation - Board Decision B.22/14 paragraph (a). Please find the link to the policy document below.

[GCF Policy on Restructuring and Cancellation](#)
Select a type of the COVID-19 challenges encountered.

Sample challenges for Project Costs:

- Cost increases or budget reallocations due to personnel contract extension, security, safety, office rentals, shipping, travel as well as needs for sanitizers, workshops, communication modalities on the field, and remote-working arrangement
- Cost inflection due to delayed purchases and unstable markets

Please describe if any support is required from the GCF to address the COVID-19 impact on your project/programme.

Challenge encountered

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B. Choose the most relevant type if the challenge is related to multiple types.

Challenge type

Impact on the project implementation

Measures adopted

This includes increased demand for countercyclical financing; changes in pricing and types of instruments and financial support sought e.g. from financial intermediaries, demand for additional subsidies/concessionality as affordability/viability becomes negatively impacted by the COVID-19 crisis, challenges in securing co-financing as potential funders face financial constraints or financing becomes redirected to COVID related initiatives.

Please check if the above-mentioned challenge(s) has been resolved during the reporting period
☐ The challenge(s) has been resolved during the reporting period.
Lesson learned and other remarks

Please check if this challenge is caused by COVID-19 pandemic.
☒ Yes

☐ No

Please choose the severity of overall impact.

Description of levels of severity:

1. On-track with no or minor impact: No or minor impact on project implementation and corresponding annual activities.
2. Facing delays: Implementation progress faced delays in the timeline but did not require any substantial changes in the implementation plan.
3. A minor change(s) required: Changes that are not classified as Major changes but requires intervention from GCF.
4. A major change(s) required: As per paragraph 16 of the Policy on Restructuring and Cancellation - Board Decision B.22/14 paragraph (a). Please find the link to the policy document below.

[GCF Policy on Restructuring and Cancellation](#)
Select a type of the COVID-19 challenges encountered.

Sample challenges for Financing and Concessionalality:

- Possible amendments to co-financing availability, financing for project management costs, and disbursement schedules
- Contractors facing challenges in acquiring loans from financial institutions and commercial banks
- Increased risk aversion towards the agricultural and agroforestry sectors
- Increasing uncertainty causing postponement or cancellation of investment decisions
- Likely extension for the closing date and completion date

Please describe if any support is required from the GCF to address the COVID-19 impact on your project/programme.

2.7 Updated implementation timetable for the Funded Activity

Please submit the implementation time table for the Funded Activity

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 2 of the Annual Performance Report (APR).

☒ The accredited entity hereby confirms that the information provided in section 2 is complete and ready for submission.

APR CY2021 Section 3: Financial Information - v4 2022-03-01 15:31 +09:00

[APR CY2021] Section 3: Financial Information

Please note that this is section 3 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

	Currency
3.1 Approved Budget for entire project period as per FAA	usd
GCF Funding (Equity)	
GCF Funding (Grants)	
9999455	
GCF Funding (Guarantees)	
GCF Funding (Loans)	
GCF Funding (Results-Based Payment)	
3.1.1 Total GCF Funding	
9999455	

	Currency
3.2 Co-financing	usd
Co-financing (Equity)	
Co-financing (Grants)	
26342664	
Co-financing (Guarantees)	
Co-financing (In-kind)	
4297216	
Co-financing (Loans)	
Co-financing (Results-Based Payment)	
3.2.1 Total Co-financing	
30639880	

Please confirm the afore-mentioned values are different as per your knowledge. *

No differences to be reported.

3.3 Disbursements Details (Cumulative to this reporting period)

3.3.1 Total GCF Disbursement	Currency
1075950	usd

GCF Equity Disbursement

0

GCF Grants Disbursement

1075950

GCF Guarantees Disbursement

0

GCF Loans Disbursement**GCF Results-Based Payment Disbursement**

0

GCF Loans Disbursement

0

GCF Senior-Loans Disbursement

0

GCF Subordinated-Loans Disbursement

0

GCF Grants Disbursement

1075950

GCF Non-reimbursable Grants Disbursement

1075950

GCF Reimbursable Grants Disbursement

0

Please confirm the afore-mentioned values are different as per your knowledge. *

No differences to be reported.

3.3.2 Co-Financing Disbursement

0

Choose currency

USD

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.3.3 Total Project Disbursement

1075950

Choose currency

USD

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

Please provide comments on sub-section 3.3, if any.**Choose currency**

USD

3.4 Expenditure details (Cumulative to this reporting period)**GCF Equity Expenditures**

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Grants Expenditures

0

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Guarantees Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Loans Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.1 GCF Expenditures

0

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.2 Co-financing Expenditures

0

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.3 Total Project Expenditures

0

Please provide comments on sub-section 3.4, if any.

3.5 Investment & Other Income (Cumulative to this reporting period)

Reporting Level for investment

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

- ☐ Project Level
☒ Accredited Entity Portfolio Level

Choose currency

Please select

Accredited Entity Portfolio Level Investment & Other Income

Please provide comments on sub-section 3.5, if any.

N/A

3.6 Report on AE fees (Cumulative to this reporting period)

Reporting Level for AE fees

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

- ☐ Project Level
☒ Accredited Entity Portfolio Level

Choose currency

Please select

Accredited Entity Portfolio Level AE Fees

Please provide comments on sub-section 3.6, if any.

Please see portfolio level report submitted by UNDP.

3.7 Annual Financial Performance Report

Please download the Financial Performance Report Template in Excel.

[Financial Performance Report Template](#)

This sub-section 3.7 is not applicable for REDD+ Results-Based Payments Projects. Please provide a separate 'Financial Progress Details' in Section 6.

Please attach the Annual Financial Performance Report here.

6218_APR_2021_SECTION-3 cleared by Dagmar_with 0 exp_DT_9Feb22.xlsx

3.8 Unaudited Financial Statement

Submit the Unaudited financial statement (as required by FAA)

(Semi-)Annual Audited financial statement, Interim/Final Evaluation Report should be submitted via separate and dedicated submission channels.

Please provide comments on the attachment.

N/A

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 3 of the Annual Performance Report (APR).

☒ The accredited entity hereby confirms that the information provided in section 3 is complete and ready for submission.

APR CY2021 Section 4: Environmental and Social Safeguards & Gender - v4 2022-03-01 15:31 +09:00

[APR CY2021] Section 4: Environmental and Social Safeguards & Gender

Please note that this is section 4 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

4.1 Implementation of environmental and social safeguards and gender elements

Please provide information on the project or programme on the following: (1) key risks and impacts as identified; (2) compliance with applicable laws and regulations including FAA conditions and covenants; and (3) progress in the implementation of environmental and social management plans and programs including monitoring activities undertaken during the implementation of the funded activity.

4.1.1 The information includes description on any changes in the key environmental and social risks and impacts as identified and arising from the implementation including any unanticipated risks and impacts (ex. from changes in laws and regulations) and, based on these if any change in the project's environmental and social risk category. In case of a change in the E&S risk category for the project, please provide an explanation.

The project will have extremely limited environmental and social impacts, with any impacts being highly spatially and temporally restricted and totally reversible. The environmental and social impacts are likely only as a result of the structural interventions limited to the installation of the hydrometeorological observation equipment and information boards on the existing government sites. Mitigation measures have been fully defined and are outlined in Part B of this document. Based on the assessment, the project is considered to have a low risk, and less so with the management plan actions.

The project will provide a number of significant environmental benefits. By enabling better predictive management of climate-induced disasters (floods, landslides, mudflows, etc.), the project will yield environmental benefits. The degradation of vegetation, water quality and natural habitat due to increased pressure from hydro-meteorological disasters has been a concern. This degradation reduces natural capital both directly, through destabilisation of hill slopes leading to increased risk of landslides and sediment movement, and indirectly, by impeding the long-term sustainability of agricultural livelihoods. With significant additional knowledge, this will improve beneficiaries' knowledge that will have flow on effects. Enabling the identification of landslide risk areas under heavy rainfall through this project will provide much needed information ahead of such events. Additionally, the development of hydrological drought forecasting for the Syr Darya and Zeravshon rivers will enable better land use planning, water and irrigation management, especially during low flows, which will reduce the risks of salinization in agricultural areas. Mudflow and avalanche risk maps and forecasts will also provide prior warning, mitigating the need for prior blasting where there is a build-up of landslides and snow.

There are several risks identified in the process of proposal development considered as low:

Risk 1: Contamination of water sources...

(Please find the following under Annexes: APR word document 4.1)

4.1.2 The information should include status of compliance with applicable laws and regulations of the country as well as the relevant conditions or covenants under the FAA. This can be captured in the table below:

Status of compliance with applicable laws and regulations and the conditions and covenants specifically addressing ESS & Gender under FAA

Compliance Type
Covenant

Compliance Title & Description

FAA Clause 10.02

In addition to Clause 18.02 of the AMA, the Accredited Entity covenants that as from the Effective Date of this Agreement it shall:

[...]

(e) Undertake and/or put in place any adequate measures in order to ensure that the management of the environmental and social risks and impacts arising from the Funded Activity complies at all times with the recommendations, requirements and procedures set forth in the Social and Environmental Screening Procedure (SESP) Template, which was provided by the Accredited Entity to the Fund before the Approval Decision and which shall not be amended, abrogated or waived without prior written approval of the Fund;

[...]

(h) Obtain, or ensure that the Executing Entity shall acquire, all land and rights in respect of land that are required to carry out the Funded Activity and shall promptly furnish to the GCF, upon its request, evidence that such land and rights in respect of the land are available for the purposes of the Funded Activity;

(i) Ensure that the Executing Entity shall acquire all necessary environmental licenses or clearances to carry out the Funded Activity, and shall promptly furnish evidence, in a form and substance satisfactory to the GCF and upon its request, that such licenses or clearances are available for the purposes of the Funded Activity;

Status of compliance

(e) Adequate measures will be undertaken during the implementation of the project. Actual project sites that will have construction works have not yet identified.

(h) UNDP will ensure that all land rights required to implement the project will be obtained during the implementation of the project.

(i) UNDP and EE will ensure all necessary environmental licenses or clearances are acquired during the implementation of the project.

Compliance Type

Law / Regulation

Compliance Title & Description

Presidential decree "About measures for further enhancement of activities of the center of hydrometeorological service of the Republic of Uzbekistan" (President degree: 17 Nov 2020); Environmental Protection Concept of Uzbekistan until 2030, (President degree: 30 October 2019)

Status of compliance

The project didn't implement any structural measures in the reporting period. When they commence, the project will ensure compliance with all relevant national policies, laws and regulations.

4.1.3 Provide a report on the progress made during the reporting period in implementing environmental and social management plans (ESMPs) and frameworks (ESMFs) describing achievements and specifying details outlined in the tables below.

Implementation of ESMPs and ESMFs**Activities implemented during the reporting period, including monitoring**

N/A

Outputs during the reporting period

N/A

Key environmental, social and gender issues, risks and impacts addressed during implementation

N/A

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

N/A

4.1.4 AEs are obligated to inform executing entities, people and project beneficiaries about the GCF's Independent redress Mechanism and the AE's own Grievance Redress Mechanism. This includes bringing the contact details, accessibility, and basic procedures of such mechanisms to the attention of executing entities, people and project beneficiaries. Please provide detailed information on the steps taken by the AE to fulfill this obligation during the reporting period in the project target area and to the public, including the dissemination of information through meetings, brochures, hotlines, and other means.

Please provide detailed information including dates and venues of activities, number of attendees, confirmation that information was provided on the 1) IRM and 2) AE's grievance redress mechanism 3] project-level grievance mechanism (where applicable).

"As per the requirements of the UNDP Accountability Mechanism (see details in link 1 below), which are aligned with the GCF's IRM, information regarding the UNDP corporate mechanisms (Stakeholder Response Mechanism (see case registry in link 2 below) and the Social and Environmental Compliance Unit (see case registry in link 3 below) and Project-level grievance redress mechanisms (see guidance in link 4 below) is made available to project stakeholders throughout project design and implementation including in Project Inception Workshops as outlined in the reports shared with the GCF. Information on the GCF IRM is also made available to all project stakeholders, and yearly reporting on project-level grievance redress mechanisms and stakeholder engagement events (including dates and venues) where this information is made available can be found in Sections 4.1.5 and 4.1.6 in PPMS."

LINK 1: <https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.undp.org%2Fsocial-and-environmental-compliance-review-and-stakeholder-response-mechanism&data=04%7C01%7Cjihyea.kim%40undp.org%7Cf5eae463040d484a3ad408d9f7cd543d%7Cb3e5db5e2944483799f57488ace54319%7C0%7C0%7C63781>

LINK 2: <https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Finfo.undp.org%2Fsites%2Fregistrv%2FSrm%2FSRMPages%2FSRMSummary.aspx&data=04%7C01%7Cijhyea.kim%40undp.org%7Cf5eae463040d>

LINK 3: <https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Finfo.undp.org%2Fsites%2Fregistry%2Fsecu%2FSECUPages%2FSECUSummary.aspx&data=04%7C01%7Cjihyea.kim%40undp.org%7Cf5eae46304>

LINK 4: https://eur03.safelinks.protection.outlook.com/?url=https%3A%2F%2Finfo.undp.org%2Fsites%2Fbpps%2FSES_Toolkit%2FSES%2520Document%2520Library%2FUploaded%2520October%25202016%2FUNDP%2520

4.1.5 Include a description of the actions undertaken during the reporting period towards increasing the relevant stakeholders' engagement in the project environmental, social and gender elements.

The information in this subsection should be provided for all projects regardless of the E&S risk category for the project.

Implementation of the stakeholder engagement plan

Activities implemented during the reporting period

N/A

Dates and venues of engagement activities

N/A

Information shared with stakeholders

N/A

Outputs including issues addressed during the reporting period

N/A

4.1.6 Implementation of the grievance redress mechanism - list on the grievances received in the reporting period with the description of the grievance, the date the grievance was received, and the resolution of the grievance.

Description of issues/complaints received during the reporting period

N/A

Date of receipt

Please provide information on any monitoring and follow-up activities.

Please specify to which grievance mechanism the complaint was filed (AE grievance mechanism or project-level grievance mechanism, if known, please explain relationship of the complainant to the project (for example: community member, contractor, business-owner, etc.))

Description of resolution	Status of addressing issues/complaints
N/A	N/A

Based on GRM's own policies, provide information related to phase of complaint (for example: including but not limited to Open, Open: Under investigation, Open: Problem Solving/Compliance Review, Closed)

If you wish to share more details on the nature of grievances reported above, please download the Grievance Tracker template from the FAQ and submit it as an attachment here.

In case you want to keep the file confidential, please choose your preference accordingly in Section 1.1.

4.2 Gender Action Plan

As the project is in its early start-up phase, there has not been significant progress made in this calendar year; updates on progress will be reported in the next APR.

Provide a progress report on the gender action plan developed during project preparation stage for the reporting period. This will primarily be a report on activities undertaken and results achieved as a result of completion of an activity. Further it should also indicate if the project is on track to achieving the intended outcome(s). The reporting should be done for activities, targets and indicators already set in the action plan including on vulnerable groups (youth, poor, female heads of households, etc.) as would have been identified in the gender analysis and action plan. If activities or targets are not achieved as per plan, reasons should be provided, and recourse action should be proposed. Please include a reporting on any changes or deviations. Include a Report on implementation challenges and lessons learnt and how these will inform on-going actions and what action will be taken by when to address the challenges faced. Incorporate both quantitative data and qualitative report of the performance of such actions, and on progress on actions identified.

4.2.1 Progress on implementing the project-level gender action plan submitted with the funding proposal

Activity / Action	
Activity 1.1. Upgrading and modernization of the meteorological and hydrological Observation System	
Indicator	
No of consultations undertaken	
Proportion of women attending consultations	
Baseline	Target, including sex-disaggregation
0	50%
Budget	Currency
	Please select
Report on annual progress	
Not launched yet	

Activity / Action	
Activity 1.2. Upgrading Uzhydromet's capacity to store, process and develop hazard products, as well as to communicate hydrometeorological data to regional divisions.	
Indicator	
Level of gender criteria integration achieved	
Baseline	Target, including sex-disaggregation
0	3
Budget	Currency
	Please select

Report on annual progress

Not launched yet

Activity / Action

Activity 1.3: Retraining and advanced training of Uzhydromet staff on monitoring and forecasting technologies and procedures

Indicator

Number of women Uzhydromet staff trained

Baseline

0

Target, including sex-disaggregation

60%

Budget**Currency**

Please select

Report on annual progress

Not launched yet

Activity / Action

Activity 2.1 Developing and installing a modernised and efficient system for assessing climate risks based on dynamic information on both hazards and vulnerabilities, including socioeconomic risk models for decision making and prioritization of resilience building long-term/future investments

Indicator

Baseline indicators refined and validated

Assess means in which women and men access and perceive hazard and climate information across multiple sectors

Baseline

0

Target, including sex-disaggregation**Budget****Currency**

Please select

Report on annual progress

Not launched yet

Activity / Action

Activity 2.2. Developing and introducing technical guidance, institutional and coordination frameworks to increase the efficiency of: i) data collection and archiving; ii) hazard mapping and modelling; iii) risk assessment; and iv) dissemination of information to RCMCs.

Indicator

Number of women in consultation groups and among training audiences

Capacity assessment scorecards reflect gender parameters

Gender considerations are reflected in guidance (and policy) documents

Baseline

0

0

Target, including sex-disaggregation

35%

Level 0

Budget**Currency**

Please select

Report on annual progress

Not launched yet

Activity / Action

Activity 2.3. Designing and implementing a system for information dissemination to RCMCs and area specific mobile alerts including an information visualization system for RCMCs with software

Indicator

Warnings are useful to the needs of vulnerable groups

Information on hazards delivered to multiple vulnerable groups

Baseline

0

Target, including sex-disaggregation

Scale 4

Budget**Currency**

Please select

Report on annual progress

Not launched yet

Activity / Action

Activity 3.1. National Framework for Climate Services for Uzbeki-stan

Indicator

Decision makers and practitioners are trained on gender mainstreaming based on UNDP training manual

Baseline

0

Target, including sex-disaggregation

35%

Budget**Currency**

Please select

Report on annual progress

Not launched yet

Activity / Action

Activity 3.2. Sustainable business model for disaster-related information and services

Indicator

Representation of women in planning teams and consultation groups

Baseline

0

Target, including sex-disaggregation

35%

Budget**Currency**

Please select

Report on annual progress

Not launched yet

Activity / Action

Activity 3.3. Strengthening disaster-related communication and interaction with end users

Indicator

Design of weather/climate advisories are tailored to the needs of men and women

Information disseminated is utilized by women

Proportion of women trainees and outreach audience

Share of women-recipients of information products and agrometeorological advisory services

Community advisory groups include women representation

Baseline

0

Target, including sex-disaggregation

Level 3

Sale 3

50%

50%

50%

Budget**Currency**

Please select

Report on annual progress

Not launched yet

4.3 Planned activities on environmental and social safeguards for the next reporting period

Environmental and Social Safeguards Plan will be developed in 2022 based on identified risk in the FP SESP form.

Provide a list of activities in the ESMP to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products. Please include the monitoring schedule concerning ESS (including other potential vulnerable groups and indigenous people) for the next annual reporting period.

4.4 Planned activities on gender elements for the next reporting period

As per the Gender Action Plan, the following activities will be implemented in the next reporting period:

Within Output 2: A functional Multi-Hazard Early Warning System is established based on innovative impact modelling, risk analyses, effective regional communication and community awareness and Activity 2.1 Developing and installing a modernised and efficient system for assessing climate risks based on dynamic information on both hazards and vulnerabilities, including socio-economic risk models for decision making and prioritization of resilience building long-term/future investments the Gender Action Plan envisions holding Gender-sensitive socio-economic vulnerability assessments and development of socio-economic risk models undertaken with sex and age disaggregated data identified and assessing means in which women and men access and perceive hazard and climate information across multiple sectors.

Within Activity 2.2. Developing and introducing technical guidance, institutional and coordination frameworks to increase the efficiency of: i) data collection and archiving; ii) hazard mapping and modelling; iii) risk assessment; and iv) dissemination of information to RCMCs the Gender plan envisions data collection and information systems supporting gender-disaggregated data for decision-making, ensuring that institutional capacity assessment reflect gender considerations, making sure that men and women have equal access to training, review of the operating procedures within existing government structures by the gender advisor to identify gender gaps and mainstreaming opportunities.

Provide a list of activities in the gender action plan to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products including processes that will be involved to implement the activities effectively. Please include the monitoring schedule concerning gender activities for the next annual reporting period. Report on actions taken on any of the recommendations made by the secretariat (if applicable) to improve the level of integration of gender issues in the project.

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 4 of the Annual Performance Report (APR).

☒ The accredited entity hereby confirms that the information provided in section 4 is complete and ready for submission.

APR CY2021 Section 5: Annexes and Attachments - v4 2022-03-01 15:31 +09:00

[APR CY2021] Section 5: Annexes and Attachments

Please note that this is section 5 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

Annex 1: Accredited Entity compliance reports

Self-assessment reports, Report on Actions pursuant to Clause 18.02, if applicable. Self-assessment reports: In accordance with the AMA requirement in Clause 13.01 of the Accreditation Master Agreement, with the Fiduciary Principles and Standards, ESS and Gender Policy. Report on Actions pursuant to Clause 18.02: Only applicable to International Accredited Entities. In accordance with the Monitoring and Accountability Framework, a report on its actions carried out or planned to be carried out pursuant to Clause 18.02 of the Accreditation Master Agreement.

Please provide comments on the annexes attached above if any.

Attachments

Please submit any attachments (if any).

2021 APR - Uzbekistan - SAP022 - 6218_final clean.docx

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 5 of the Annual Performance Report (APR).

☒ The accredited entity hereby confirms that the information provided in section 5 is complete and ready for submission.

APR Completeness Check - v1 2022-03-10 12:01 +09:00

[APR CY2021] Completeness Check

OPM portfolio managers will check the submitted APR and give a preliminary assessment of the report in this stage.

Step 1: GCF portfolio managers will provide comments in the comment box given against every section's title.

Please note that comments made on the report directly will be shared with the accredited entities.

Step 2: After providing comments, portfolio managers provide a rating on the below scale for every section, and if required, can provide comments on the overall completeness assessment of the APR.

This section's rating and comments are internal to GCF and will not be shared with the accredited entities.

Scale:

1 = Complete

0 = Incomplete

Step 3: After providing internal and external comments and ratings, the last step is to take action (Block/Pass).

Block signifies that the APR didn't clear the completeness check, and the AE needs to provide further information and/or revert to comments made by the GCF portfolio manager.

Pass signifies that the APR is complete and ready for Review by the inter-divisional review team.

Section 1: General Information

Provide rating here:

1. Rating: *

Complete

Section 2: Implementation Progress

Provide rating here:

2. Rating: *

Complete

Section 3: Financial Information

Provide rating here:

3. Rating: *

Complete

Section 4: Project Specific on Environmental and Social Safeguards & Gender

Provide rating here:

4. Rating: *

Complete

Section 5: Annexes and Attachments

Provide rating here:

5. Rating: *

Complete

Overall Annual Performance Report

Overall comments by the reviewer (OPTIONAL)

Provide overall rating here:

Overall rating: *

Complete

[DPM] APR Review - v3 2022-03-22 16:12 +09:00

[APR CY2021] DPM Review

Please read the below instructions before initiating your review of this report.

DPM portfolio managers will carry out a detailed review assessment of the submitted APR in this phase.

Step 1: The reviewer will provide comments in the comments box given against every subsection's title.

Please note that comments made directly on the APR will be shared with the AE.

Step 2: The reviewer can use the middle pane's comment box (located under these instructions) to register any comments from the report's review assessment. These comments are stored internally in GCF and will not be shared with the AE.

Step 3: After providing comments, if the reviewer concludes that the assessment for this particular APR is complete and doesn't require any further inputs from the AE, the reviewer will click on the pass button present at the bottom right corner.

If the reviewer assesses that AE needs to reflect on the comment provided after this review and further round(s) of assessment is required, then the reviewer will click on the block button.

Please note that your comments are still not shared with the AE, and it's now in the Portfolio Manager's list to provide a decision and thereafter all the comments will be shared with the AE.

At this phase, the reviewer can reassign the report to another individual using the 'Reassign' function present at the bottom right corner.

Reviewer's comments: (Please note that these comments will not be shared with the AE)

Additional information needed on the change to baseline and impact of the change in the additional AMS refurbished by WB.

Please confirm by ticking the below checkbox if the review assessment is completed. *

☒ Yes, the assessment is complete.

[ESS/IP] APR Review - v3 2022-04-14 11:52 +09:00

[APR CY2021] ESS/IP Review

Please read the below instructions before initiating your review of this report.

ORMC ESS and IP Specialists will carry out the detailed review assessment of section 4 of the submitted APR in this phase.

Step 1: The reviewer will provide comments in the comments bubble box given against every subsection's title.

Please note that comments made directly on the report will be shared with the AE after the decision from OPM Portfolio Manager.

Step 2: The reviewer can use the middle pane's comment box under these instructions to register any comments from the report's review assessment. These comments are stored internally in GCF and will not be shared with the AE.

Step 3: After providing comments, if the reviewer concludes that the assessment for this particular APR is complete and doesn't require any further inputs from the AE, the reviewer will click on the pass button present on the bottom right corner.

If the reviewer assesses that AE needs to reflect on the comment provided after this review and further round(s) of assessment is required, then the reviewer will click on the block button.

Please note that your comments are still not shared with the AE, and it's now in the Portfolio Manager's list to provide a decision and thereafter all the comments will be shared with the AE.

Reviewer's comments: (Please note that these comments will not be shared with the AE)

No significant key risks and impacts were identified during the reporting period. It is well noted that the several risks mentioned (contamination of water sources, construction noise, sediment movement) have mitigation measures in place. It is well noted that the AE is compliant with FAA clauses and conditions which were applicable during the reporting period. The AE is requested to include a description of the actions undertaken during the reporting period towards increasing the relevant stakeholders' engagement in the project environmental, social and gender elements. It is well noted that the AE's GRM is fully operational and discussed with all stakeholders.

Note that these comments are not shared with the accredited entity.

Please confirm by ticking the below checkbox if the review assessment is completed. *

☒ Yes, the assessment is complete.

[Gender] APR Review - v2 2022-04-11 16:03 +09:00

[APR CY2021] Gender Review

Please read the below instructions before initiating your review of this report.

ORMC Gender specialists will carry out the detailed review assessment of section 4 of the submitted APR in this phase.

Step 1: The reviewer will provide comments in the comments bubble box given against every subsection's title.

Please note that comments made directly on the report will be shared with the AE after the decision from OPM Portfolio Manager.

Step 2: The reviewer can use the middle pane's comment box under these instructions to register any comments from the report's review assessment. These comments are stored internally in GCF and will not be shared with the AE.

Step 3: After providing comments, if the reviewer concludes that the assessment for this particular APR is complete and doesn't require any further inputs from the AE, the reviewer will click on the pass button present on the bottom right corner.

If the reviewer assesses that AE needs to reflect on the comment provided after this review and further round(s) of assessment is required, then the reviewer will click on the block button.

Please note that your comments are still not shared with the AE, and it's now in the Portfolio Manager's list to provide a decision and thereafter all the comments will be shared with the AE.

Reviewer's comments: (Please note that these comments will not be shared with the AE)

The project started officially on July 2021. Expected deliverables in the first year of this project as per GAP were:

Under outcome 2:

Act 2.1

Hiring of staff: Gender advisor and international gender experts, 2 and age disaggregated data identified

Gender socio-economic vulnerability assessment conducted

30% women as staff (baseline: MES staff already 35% are women)

Training PMU on gender

Note that these comments are not shared with the accredited entity.

Please confirm by ticking the below checkbox if the review assessment is completed.

☐ Yes, the assessment is complete.

[Finance] APR Review - v1 2022-04-07 14:09 +09:00

[APR CY2021] Finance Review

Please read the below instructions before initiating your review of this report.

DSS/Finance managers will carry out the detailed review assessment of section 3 of the submitted APR in this phase.

Step 1: The reviewer will provide comments in the comments bubble box given against every subsection's title.

Please note that comments made directly on the report will be shared with the AE after the decision from OPM Portfolio Manager.

Step 2: The reviewer can use the middle pane's comment box under these instructions to register any comments from the report's review assessment. These comments are stored internally in GCF and will not be shared with the AE.

Step 3: After providing comments, if the reviewer concludes that the assessment for this particular APR is complete and doesn't require any further inputs from the AE, the reviewer will click on the pass button present on the bottom right corner.

If the reviewer assesses that AE needs to reflect on the comment provided after this review and further round(s) of assessment is required, then the reviewer will click on the block button.

Please note that your comments are still not shared with the AE, and it's now in the Portfolio Manager's list to provide a decision and thereafter all the comments will be shared with the AE.

Reviewer's comments: (Please note that these comments will not be shared with the AE)

The APR is passed but there is a minor discrepancy in the budget which needs to be corrected.

Note that these comments are not shared with the accredited entity.

Please confirm by ticking the below checkbox if the review assessment is completed.

☒ Yes, the assessment is complete.